INDIANA HUMANITIES
INNOVATION GRANTS
CALL FOR PROPOSALS

Grant Amount: Up to $10,000

Timeline

| Deadline                      | • Inquiry form due April 30, 2021  
|                               | • Full application due July 31, 2021 (11:30 p.m. EDT) |
| Notification                  | Approximately six weeks after the application deadline |
| Date projects may begin       | Oct. 1, 2021                                      |
| Date projects must be completed | Within two years of notification                   |
| Additional details            | • This grant requires at least a 50 percent cash/in-kind match.  
|                               | • We plan to award two or three Innovation Grants in 2021.  
|                               | • Indiana Humanities grants are funded through the support of the National Endowment for the Humanities, a federal agency. |

At Indiana Humanities, we know that access to public humanities programs is essential. The humanities help to engage Hoosiers, inform them and inspire them. They stoke curiosity, promote dialogue and allow people to better understand the diverse and complex world in which they live. They’re a vital component of thriving communities, enhancing quality of life and promoting economic development.

To encourage creative, in-depth humanities programs that introduce bold (even risky) ideas, utilize unique approaches and/or reach underserved audiences, Indiana Humanities offers Innovation Grants to Indiana organizations. The guidelines below present details about these grants, outlining the types of projects we support, who’s eligible to receive them, how to apply and other important information.

What We Support

Indiana Humanities’ mission is to encourage Hoosiers to think, read and talk, and we like to support projects that do just that—projects that help people learn new information, consider different perspectives, share ideas and understand one another better. The purpose of the Innovation Grant is to promote projects that take this idea and elevate it even further by applying fresh and inventive components or methods. This could include:
• **Dreaming up a humanities program that hasn’t been tried before.** We fund many presentations, exhibitions and workshops, and we value these proven vehicles for engaging audiences. But we also want to encourage unconventional approaches that excite and captivate people in new ways—perhaps by presenting a groundbreaking topic or by delivering programs in an unexpected format.

  – As an example, we offer our own [Next Indiana Campfires](#) series, which got audiences reading and discussing environmental literature, not in a library or school setting, but out in nature—on the trail, in kayaks and around campfires.

  – In 2019 we awarded an Innovation Grant to the [Hoosier Young Farmers Coalition](#), allowing them to host a series of events to gather information about our modern-day food-supply system, to help the public better understand farming in the 21st century and to provide a full picture of the diverse people who provide us with food. Grant-funded activities include storytelling events, the crowdsourcing of stories and the development and distribution of podcasts.

  – Another of our recent Innovation Grant recipients is [Big Car Collaborative](#), which will produce *Words and Music: An Audio Exploration of the Life and Work of Indiana Writers*, a series of eight hour-long programs that highlight poetry and creative prose. A variety of well-known Hoosier writers will serve as cohosts and will explore history and contemporary culture through the lens of Indiana-connected writers. Big Car will broadcast the series on its Indianapolis radio station and will also make it available via podcast.

• **Harnessing technology to deliver humanities programming.** One of the best things about the digital age is that it makes information much more widely available, and we feel this has many positive implications on how people interact with the humanities. We’re eager to partner with grantees to tap into the potential that technology offers.

  – One way we’ve done this recently is by providing the [Indiana Medical History Museum](#) with funds to develop an app for visitors who have difficulty hearing, who don’t speak English or who are unable to visit the second floor of the museum; iPads at the museum allow these guests to join the tour using closed-captioned videos or transcripts in multiple languages. This is an example of a small but effective way of applying technology to make the humanities more accessible, and we realize that there are many other possibilities—perhaps some of them groundbreaking.

  – Another of our 2019 Innovation Grant recipients was the [Knox County Public Library](#), which used funds to develop a history-focused virtual reality program that provides local historical organizations with virtual reality hardware and training on how to use it. These efforts are helping the organizations to create high-quality virtual-reality content that’s engaging and pushes storytelling to a new level.

• **Creating programs, implementation methods and/or evaluation tools that help you reach audiences that you don’t typically attract.** At Indiana Humanities, we want to ensure that all Hoosiers benefit from the humanities, but we realize that traditional programs and approaches don’t reach everyone. One of our goals with this grant is to address this. We encourage you to use Innovation Grant funds to experiment and even take risks in order to reach underserved audiences.

  – For an idea of what we’re thinking, check out a program called [Straight Outta Fresno](#), funded by California Humanities. The program documents and highlights the history of hip-hop in Fresno using approaches that are especially appealing to racially and culturally diverse young people, and we think it serves as a good model for others.
— Also consider the Moreau College Initiative, an academic collaboration between Holy Cross College and the University of Notre Dame. We awarded the Initiative a 2019 Innovation Grant to support a literary arts project that serves incarcerated people at the Westville Correctional Facility. Activities include a series of writing seminars, public reading and discussion of students’ work, and a published catalog of students’ writings.

These are just a few ways grant applicants can demonstrate a more inventive approach to the public humanities, but of course it’s just a start. We encourage you to take imaginative leaps and share your creative ideas with us. We’ll require any program we fund to be based in sound humanities scholarship, but beyond that we’re open to considering your inspirations.

Eligibility
To be eligible for an Innovation Grant, you must apply on behalf of an Indiana-based tax-exempt organization. Schools, public libraries, churches, community organizations and government entities are eligible; 501(c)3 status is not required. Please note that we will not award Innovation Grants to individuals for for-profit entities. Also, note that we will not give an organization more than one Innovation Grant per calendar year.

Requirements
Your proposal must meet the following requirements in order to be considered. Our review committee will use these to evaluate, rate and rank your application.

Your project must:
1. **Contain a strong focus on the humanities**. When considering which humanities-related projects to fund, we use guidelines provided by the National Endowment for the Humanities. According to the NEH, the humanities include activities in the following disciplines:

- Literature
- Language
- History
- Philosophy
- Archaeology
- Comparative religion
- Law
- Ethics
- Theory, history and criticism of the performing and visual arts
- Social sciences that have humanistic content and/or humanistic method

We’re especially interested in projects that align with our goals and help us carry out our mission. To learn more about what we do and what’s important to us, visit [www.indianahumanities.org](http://www.indianahumanities.org). If you question whether your project falls into the humanities disciplines that we support, please contact us.

A point of clarification about the arts:
*Indiana Humanities does not support arts-related projects, especially around the creation/display of visual art (drawing, painting, sculpture, etc.) or the performance of art (drama, dance, music, etc.). If your project involves these activities, we encourage you to seek guidance from the Indiana Arts Commission or your local arts council. In general, we do not award grants for writing workshops or other creative literary programs unless they include considerable opportunity for public reading and discussion (such as the Moreau College Initiative program described earlier). As noted above, we will consider projects that focus on art history and criticism (e.g., a discussion program in which a qualified and engaging scholar explores artistic themes and/or helps put works in context), but funding for these projects is rare. Our advice: If your project includes arts components, reach out to us for guidance before applying.*
2. **Include innovative elements.** To reiterate, the purpose of this grant is to support projects that are creative, that involve experimental methods and approaches, that utilize technology to bring humanities programs to a broader public and/or that help your organization reach new/underserved audiences. Please understand that we are not offering more funds simply to carry out the usual projects on a larger scale—rather, the goal is to support fresh, out-of-the-ordinary work. In your application, you’ll need to make a strong case as to why you consider your project innovative and why it merits funding through an Innovation Grant.

3. **Include humanities scholars in planning and implementation.** Humanities scholars are those with particular training or experience qualifying them as professionals in one or more of the academic disciplines of the humanities. Scholars may have advanced degrees (MA or PhD) in a humanities field of study and/or regular appointments at an institution of higher learning, or they may have developed a high level of expertise through immersion in a particular cultural tradition. Artists or authors are not humanities scholars unless they also fulfill these criteria.

We recognize that this requirement raises concerns for many applicants, so if you have questions about including a qualified scholar as part of your project, please contact us for guidance.

4. **Be intended for a public audience.** Although you may design and market your program for a target audience, you must ensure that it is open to the public at large. Our review committees assess the public nature of all proposed programs and evaluate how factors such as timing and location will impact audience attraction. **Note that we do consider programs delivered in schools and prisons to be public, and in these cases you may offer them to select audiences (i.e., you don’t have to open them to the broader public).**

*Please note:* You may charge admission to an event funded with an Indiana Humanities grant, but the admission should be nominal (we recommend no more than the cost of a movie ticket). We will not fund a project if we feel the cost of admission will prevent it from being a truly public event. For this reason, we typically do not support conferences or workshops that charge significant registration fees, unless the grant goes toward an activity (e.g., a keynote address) that is open to the public at a free or reduced rate.

5. **Be presented at a site that is accessible according to the Americans with Disabilities Act (ADA).** If the site is not ADA accessible, you must demonstrate how you will make reasonable accommodations.

6. **Include a plan for execution.** You must demonstrate that you have the capacity to execute the project you propose. No matter how strong an idea is, we won’t fund it if we don’t have confidence that you can successfully implement it. In your application, be sure to provide sufficient detail as you describe your project ideas and goals. Tell us exactly what you’re going to do and how you’ll do it. Be thoughtful and clear about your timeline. Identify your project team members and scholars, describe their roles and show how they’ll contribute to your project’s success.

7. **Not exceed $10,000 in requested funding.** You may apply for a grant of up to $10,000 (though of course you may also ask for less). When we award a grant, we look closely at budgets to evaluate where applicants are proposing to spend grant funds. In some cases, we may offer only partial funding (and we’re doing so increasingly as our grants become more competitive).

8. **Demonstrate at least a 50 percent match in cash or in-kind contributions from your organization or other sources** (e.g., if you request $8,500, you must show at least $4,250 of matching support). Matching funds must come from nonfederal sources and ideally will demonstrate a level of community support for the project. We report to the National Endowment for the Humanities data on how much private investment our grants leverage, so we encourage you to be thoughtful about calculating your
match and to provide an accurate valuation of what you and your donors/collaborators will bring to the table. You’ll find more information about the match in the “Budget” section in Appendix A below.

9. **Begin after the project start date indicated on page 1 of these guidelines (Oct. 1, 2021).** While you may begin planning your project in advance, the public programming should not take place before the start date that we list. In other words, we won’t fund your program if part or all of it has already occurred before we make our decision and notify you.

10. **Be completed within two years of the award date.** If awarded a grant, you must complete project activities within two years of receiving notification (with a final report due 90 days after close). If you’ll need longer than two years, please let us know before you submit your application; we may allow more time for compelling reasons.

**Restrictions**

Indiana Humanities will not award grants for any of the following purposes:

- General operating expenses (i.e., our grants must support the development and implementation of specific public humanities programs)
- Activities that promote a particular political, religious or ideological point of view
- Advocacy of a particular program of social or political action
- Support of specific public policies or legislation
- Lobbying
- Fundraising
- Endowment contributions
- Regranting of funds
- Purchase of land or facilities, construction or renovation
- Property or major equipment purchases (in other words, funds should not be used exclusively for equipment purchases; we may consider funding equipment, however, if it’s a portion of the grant request and will support the overall goal of providing public humanities programming)
- Archival acquisitions
- Programming that falls outside of the humanities, including the creation or performance of art (see further explanation in the Requirements section above)
- Social services
- Prizes, awards or scholarships
- Publication of books
- Foreign travel
- Alcoholic beverages
- Entertainment (unless it’s a key educational component of the program—for example, musicians for a program on Indiana’s jazz heritage)
Application, Review and Notification

Inquiry

Before applying for an Innovation Grant, you must complete a brief inquiry form by the deadline listed on page 1 of these guidelines (April 30, 2021). After you submit your inquiry form, an Indiana Humanities staff member will contact you either via phone or email to acknowledge it and to ask additional information and/or provide guidance. If we feel that your project aligns well with the goals of the Innovation Grant, we’ll ask you to submit a full proposal.

Application

If you’re invited to submit a full proposal, you must do so using Indiana Humanities’ online application system. Instructions for using the system are at www.indianahumanities.org/grants. Please note that first-time applicants will have to register. More information about applying (including a list of narrative questions) is included in Appendix A below.

A review committee will evaluate each proposal based on the extent to which the project aligns to the guidelines presented in this document. In particular, committee members will evaluate the richness of the humanities content and clear contributions of humanities scholars; the strength of the idea and the innovative aspects of the project; the feasibility of the methods and the organization’s capacity to execute the project; the statement of need; and the clarity and reasonableness of the budget. The committee will also take into consideration other factors such as the diversity of the applicant organization and its audience, the project’s alignment to Indiana Humanities’ mission and community support. To help you better understand the factors that go into our assessment, we have attached the Innovation Grant scoring rubric in Appendix C.

The review process will take approximately six weeks, and once we know the status of your proposal, we’ll notify the project director listed on your application. The project director is responsible for informing other team members.

Administration

If we award you a grant, we’ll send the project director an agreement and information outlining the requirements for administering the grant.

Among other things, you’ll need to:

- Sign and return a copy of the agreement. This is a standard agreement and is nonnegotiable. We reserve the right to cancel the agreement if you make significant changes to your project scope, purpose, activities, personnel, budget or timeline without obtaining our permission.

- Provide us with your organization’s DUNS (Data Universal Number System) number. Indiana Humanities’ grants are funded through the support of the National Endowment for the Humanities, a federal agency, and as a “subrecipient” of federal funds you must follow federal regulations, including registering for and providing us with a DUNS number. We’ll ask for the DUNS number on the application, and if you have one, please provide it. If you don’t have one, you can leave the field blank (it’s not required), but know that if you receive a grant, you’ll need to register and provide a DUNS number on the agreement.
  - If you’re not sure if you have a DUNS number, visit https://iupdate.dnb.com/iUpdate/companylookup.htm to look up your organization. You can then follow the steps to verify your number or to apply for one.
— If you prefer to obtain a DUNS number via phone, you'll find the phone numbers you need at

• Send letters to your congressional delegation announcing the receipt of the grant.
• Submit to Indiana Humanities a listing of grant-funded events/activities.
• Comply with state and federal nondiscriminatory statutes.
• Report significant changes in the project to Indiana Humanities prior to their execution.
• Acknowledge Indiana Humanities and the National Endowment for the Humanities as stipulated in the
grant agreement.
• Submit an expenditure and evaluation report at the conclusion of the project.
• Retain auditable records of grant funds and local donations of cash and in-kind contributions and
services (for three years).

Note that Indiana Humanities will pay up to 90 percent of your award in advance (after we receive the signed
agreement and congressional letters). We’ll pay the remaining 10 percent after we receive the final expenditure
and evaluation report.

Application Support
We’re available to support you as you prepare your inquiry form and application, and we encourage you to reach
out if you have questions or concerns about whether your idea aligns with the goals of the Innovation Grant.
We’re also eager to provide assistance as you prepare your budget, identify your humanities scholars, fill out
your application form and so on. For help, please contact:

George Hanlin, Director of Grants
Indiana Humanities
1500 N. Delaware St., Indianapolis, IN 46202
ghanlin@indianahumanities.org
317.616.9784
Appendix A—Additional Information about Applying

A well-prepared application influences the grant-review process. To achieve a superior rating, proposals must meet the published criteria, clearly describe the end product and include a workable plan, have a consistent and accurate budget with itemized expenses and demonstrate community need for the proposed programming. The following outlines the sections you’ll find on the online application form with some guidance for each.

Part 1: Overview
This provides us with the basic information we need about your organization. The following definitions/tips offer some guidance.

- **Project Title:** The name you’ve given your project. Make it brief, self-explanatory and appealing.
- **Sponsoring Organization:** The tax-exempt group assuming full responsibility for the grant and to whom the grant check should be payable.
- **Sponsoring Organization Official:** A person who is legally empowered to sign the application on behalf of the organization—usually the president, executive director or chair. College and university applicants should obtain the approval of their administrative officials (office of research, sponsored programs, etc.).
- **Federal Employer Identification Number (EIN):** Also referred to as the Federal Tax Identification Number (TIN), this is a unique nine-digit number that the Internal Revenue Service assigns to organizations.
- **Data Universal Number System (DUNS) Number:** This is an identification number that the U.S. Office of Management and Budget requires of all organizations receiving federal funds. If your organization doesn’t have one at the time of application, you may leave this field blank, but know that you’ll need to register for and provide us with a DUNS number if you receive a grant. (More information is in the Administration section above.)
- **Project Director:** The person who will serve as the administrative contact for Indiana Humanities. We’ll send all grant-related correspondence to the project director, so the person you list should be aware of the application and be prepared to serve. The addresses you list (both email address and U.S. postal address) should be the location where the project director wishes to receive paperwork. Note that you must submit the project director’s resume or CV as part of the application.
- **Contact for E-Payment Setup:** If you receive a grant, we prefer to pay funds electronically rather than via check. If you’re willing to consider electronic payment, please provide the name and email address of the person to whom we should send setup instructions.
- **Project Cosponsor(s):** Groups that will play important roles but are not the actual recipients of the grant. Enter “none” if you do not have a cosponsor.

Part 2: Project Summary
The project summary offers our reviewers a quick overview of your project (the basic who, what, when and where). In no more than two or three sentences per question you should:

- Describe your project. What public programming/product will it produce?
- Describe the audience it will reach.
• Outline when it will take place. (For example, if it’s an exhibition, when will it run? If it’s a brochure, when will you publish and distribute it?)

• Indicate where it will take place/be distributed.

You’ll see that in this section we also ask questions about whether your programs will be presented at sites that are accessible according to the Americans with Disabilities Act (ADA) and whether you’ll be charging admission. Depending on your responses, you may need to provide further explanation.

Part 3: Narrative Questions

These questions allow you to provide more detailed information about your project’s purpose, audience, connection to the humanities, implementation and evaluation. The online system allows you to start your application, save it and come back to it later, but just to be safe, you might want to type your answers in a word-processing program, save them, then copy and paste them into the online form.

These are the questions you’ll need to answer (keep in mind that while we have a question specifically about innovation, you should be sure to demonstrate the project’s innovative aspects throughout):

• What is your project idea? If it’s an exhibition, what’s it about? If it’s an event, what will take place? In other words, give a thorough description to help reviewers imagine how the project will unfold.

• Outline the goals of your project. What do you want to accomplish? What do you expect will happen as a result of your work?

• What is your organization’s mission, and how does this project help you achieve it?

• Tell us about your intended audiences: Who are they? Why are they interested in participating? Considering your previous activities, how many people do you estimate the program will reach? How will they find out about the program? If your program will engage diverse audiences or reach underserved audiences, explain that here.

• Describe how the humanities are an integral part of your project. This may include how humanities disciplines, research, texts and/or methods are at the heart of your activity.

• Who are your humanities scholars, and how are they involved in the planning and implementation of your project?

• Based on what you’ve outlined above (content, approach, goals, audience and personnel), how is this project innovative? What sets it apart from your previous work or how is it a stretch for you? Why should we consider it for our Innovation Grant rather than our standard Action Grant?

• As we mentioned in the guidelines, we’re open to you taking risks with this grant, but we expect you to think through them and come up with a strategy for managing them. What are the risks, and how will you address them in order to best position yourself to succeed?

• In addition to the scholars you’ve included, list other project team members and describe their roles.

• List any partner organizations who are collaborating on this project and describe their roles.

• Give a detailed timeline for the project, including the projected months for planning, implementing and evaluating/reporting.
• How do you anticipate that Covid may impact your programming? If your programming includes in-person activities, what are your plans to ensure that you deliver them safely? What is your contingency plan should you have to cancel them?

• Describe your evaluation plan. How will you measure the impact of your innovative approach? How will you know whether you’ve successfully accomplished your goals?

Part 4: Budget
Here you’ll provide a line-item budget for the project, using the budget format in Appendix B as a guide. We’ve included at www.indianahumanities.org/grants a template you can download and complete; you may also create a spreadsheet (e.g., Microsoft Excel) as long as it has the same columns and categories.

Please note the following:

• The column titled “Indiana Humanities Funds” should reflect how you’ll use the funding that you’re requesting from Indiana Humanities.

• The column titled “Cost Share—Cash” should reflect cash for project expenses that comes from either your organization or your donors and collaborators. You may count in this column the time that paid staff will spend on the project.

• The column titled “Cost Share—In-Kind” should reflect the dollar value of support given to the project instead of money. Examples include volunteer time, use of donated meeting space or donated supplies. Compute the in-kind value according to the actual pay scale of persons engaged in comparable work, the actual rental value for the room or the actual purchase value of the supplies.

• Your match (cash and in-kind) must be at least 50 percent of your requested grant funds.

• You cannot use federal dollars for cost-share purposes.

• Line items for alcoholic beverages, whether from Indiana Humanities grant funds or cost-share funds, are prohibited. These items should not appear anywhere in your budget.

• You cannot use grant funds for entertainment, unless it’s an essential part of the programming (see further explanation in the Restrictions section above).

• You should keep reimbursement for airfare, hotel and per diem at reasonable rates; you should reimburse mileage at no more than the current IRS standard rate. Note that grant funds cannot be used for international travel.

• You may apply up to 10 percent of grant funds toward indirect costs, unless your organization is allowed a higher rate through a Negotiated Indirect Cost Rate Agreement (NICRA) with the federal government. If your organization has a NICRA, you must submit a current copy along with your application when requesting grant funds for indirect costs.

• You should be specific and clear about how you’re spending grant dollars and meeting your match. If any budget items need an explanation, you should provide it in the Notes column. Remember that clarify of budget is one factor on which we evaluate your proposal.

Part 5: Required Attachments
• The online application requires you to attach resumes or CVs (no longer than two pages each) for the project director and scholars. Remember, the project director is the person whose name appears in the
first section of the application and who serves as the primary contact between Indiana Humanities and the grantee organization. This person is also chiefly in charge of implementation and final reporting for the grant.

- Attach a copy of your organization’s annual operating budget.
- Attach a list of your organization’s board of directors.
- Attach two or three letters of support from cosponsors, experts and/or interested community groups. These letters should vouch for the value of your program and its potential impact on the community. They should come from people who are well positioned to make the case and not too closely tied to your organization. (A letter of recommendation from a past participant or a potential beneficiary of the program is more effective than a letter from your board chair, a staff member or one of the team members designing or delivering the program.) If you’re partnering with an organization to deliver a program, it’s also helpful to include a letter demonstrating that group’s commitment and support.
- Please note that the attachment sections don’t allow you to upload multiple documents—you must merge all of your items into one document and upload it. (For example, in the section that asks for resumes, you won’t be able to upload four individual resumes—you’ll have to combine each of the four resumes into one document and upload that single document.)
Appendix B—Sample Budget

Use the following sample budget as a guide for laying out and itemizing your own budget. You may create your budget in either a Word document or spreadsheet as long you use the same columns and categories as in the sample. Note that this is a general example and not specific to the Innovation Grant.

<table>
<thead>
<tr>
<th>Category</th>
<th>Indiana Humanities Funds</th>
<th>Cost Share—Cash</th>
<th>Cost Share—In-Kind</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Administration</strong></td>
<td></td>
<td>Jane Smith, program director</td>
<td></td>
<td>Two volunteers</td>
</tr>
<tr>
<td>(list all persons, titles, hours,</td>
<td>50 hrs. @ $20/hr. = $1,000</td>
<td>John Jones, executive director</td>
<td></td>
<td>40 hrs. total @ $15/hr. = $600</td>
</tr>
<tr>
<td>and rates of pay)</td>
<td></td>
<td>25 hrs. @ $50/hr. = $750</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Program Personnel</strong></td>
<td>Susan Wilson, speaker = $1,000</td>
<td></td>
<td></td>
<td>Speaking fee discount offered by Sarah Jackson = $1,000</td>
</tr>
<tr>
<td>(list all non-administrative</td>
<td>honorarium</td>
<td></td>
<td></td>
<td>Sarah Jackson will serve as keynote speaker, she will discount her</td>
</tr>
<tr>
<td>persons and stipends or rates of</td>
<td>Jim Brown, speaker = $1,000 honorarium</td>
<td></td>
<td></td>
<td>normal fees in half, so we’re counting the $1,000 discount as</td>
</tr>
<tr>
<td>pay)</td>
<td>Sarah Jackson, speaker = $2,500 honorarium</td>
<td></td>
<td></td>
<td>in-kind.</td>
</tr>
<tr>
<td><strong>Travel and Per Diem</strong></td>
<td>Wilson mileage from Indianapolis, 320 miles RT x $.58/mile = $186</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(list mileage, airfare, lodging,</td>
<td>Brown mileage from Chicago, 84 miles RT x $.58/mile = $49</td>
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<tr>
<td>per diem, etc.)</td>
<td>Jackson flight from Boston = $354</td>
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<td></td>
<td>Jackson hotel (one night) = $128</td>
<td></td>
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<td></td>
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<tr>
<td></td>
<td>Jackson car rental = $73</td>
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<tr>
<td></td>
<td>Jackson per diem, two days @ $50/day = $100</td>
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<tr>
<td><strong>Supplies</strong></td>
<td>Supplies for program (paper, envelopes, name tags) = $77</td>
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<td></td>
<td></td>
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<tr>
<td>(list expenses for any supplies)</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td><strong>Printing and Postage</strong></td>
<td>Printing for flyers and brochures = $375 Mailing of brochures = $159</td>
<td></td>
<td></td>
<td>Printing company is giving us a discount, so we’re counting that as</td>
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<tr>
<td>(list expenses for any printing</td>
<td></td>
<td></td>
<td></td>
<td>in-kind.</td>
</tr>
<tr>
<td>and postage)</td>
<td>Discount for printing of flyers and brochures = $200</td>
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<tr>
<td><strong>Space Rental</strong></td>
<td>Public library meeting room for three days, $75/day = $225</td>
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<td></td>
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<tr>
<td>(list expenses related to office</td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>and meeting space)</td>
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<tr>
<td><strong>Other</strong></td>
<td>AV rental for three events, $100 per event = $300</td>
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<tr>
<td>(list all items not included</td>
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<td>above)</td>
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<tr>
<td><strong>TOTALS</strong></td>
<td>$5,390</td>
<td>$2,661</td>
<td>$2,025</td>
<td></td>
</tr>
</tbody>
</table>
# Appendix C—Innovation Grant Scoring Rubric

Our grant review committee uses the following scoring rubric to evaluate proposals.

**Applicant Name:**

Does this proposal meet the minimum guidelines (tax-exempt applicant, humanities topic/humanistic methods, public programming elements)? □ Y or □ N

If “yes,” use the rubric below to evaluate the proposal and assign a score.

**Core Components**

<table>
<thead>
<tr>
<th>Component</th>
<th>Unacceptable</th>
<th>Acceptable</th>
<th>Good</th>
<th>Exemplary</th>
<th>Points Awarded</th>
</tr>
</thead>
</table>
| **Humanities Focus**  
20 Points    | The project’s connections to humanities topics and/or its use of humanistic methods are weak. The public programming components are minimal. The scholars/experts listed are not legitimate or are questionable at best. 0–5 points | The project includes humanities elements and/or humanistic methods but they’re fairly commonplace. The project does include scholars/experts, though their role may be incidental or not well defined. This is an adequate public humanities project, but it doesn’t necessarily inspire. 6–10 points | The project clearly focuses on humanities topics and/or includes humanistic methods. It features solid public-programming components. The scholars and their roles are clearly identified, and the scholars will likely contribute to the project’s success. 11–15 points | The humanities content focuses on a topic that’s underrepresented or not often studied. The project may apply unique approaches. The scholars/experts are extremely qualified and fully involved. This project is likely to engage the participants in meaningful and lasting humanities activities. 16–20 points | out of 20 |
| **Innovative Aspects**  
20 points    | The project doesn’t include the innovative aspects that we define in our grant guidelines. Instead, it features components we often see in public humanities programs. This is the sort of program we should consider for our Action Grant. 0–5 points | The project has some innovative elements, but they need further definition. The proposed idea is adequate, but with more thought and development, the project would rise to the creative level that we’re expecting to see with this grant. 6–10 points | The innovative elements outlined in this proposal are strong, and the applicant has demonstrated how they align with our guidelines. This is a project that we could easily showcase as a creative approach to public-humanities programming. 11–15 points | In terms of innovation, this is a model project. It clearly aligns with the innovative aspects outlined in our guidelines, and we’re excited to highlight this work. This is an outstanding example of the kind of creative programming we want to support with this grant. 16–20 points | out of 20 |
| **Capacity**  
15 Points    | The organization’s ability to carry out this project is questionable. The team does not appear qualified, the timeline is not well thought out/presented, the research/planning seems weak, and/or the organization does not have a strong track record. It does not appear that the organization has the capacity to draw an audience. The proposal is poorly developed. 0–3 points | Given the proposal and what we know of this organization/team, it’s likely it could adequately carry out this project. The team appears qualified, and the timeline seems sound. Based on our understanding of the project and the target audience, we feel the organization is positioned to achieve its goals in terms of attendance. 4–7 points | The organization has developed a solid proposal that inspires confidence. It has put significant thought into the planning of the project and presents a clearly defined timeline that’s achievable. The organization has a clear audience in mind for its program and a method for reaching it. This organization has a reputation for delivering. 8–11 points | This is an organization that we can depend on to do great work. It has a reputation for excellence. It has prepared a concise and inspiring proposal with clearly outlined goals and a well-defined approach to achieving the goals. The timeline is explicitly spelled out and on target. The organization leaves no doubt that it will reach its audience. 12–15 points | out of 15 |
## Case Statement/Need

**15 Points**

<table>
<thead>
<tr>
<th>Description</th>
<th>Points</th>
</tr>
</thead>
<tbody>
<tr>
<td>The proposal does not make a compelling case as to why this project is important to the community, nor does it convey enthusiasm and make us want to fund the project. The person writing the proposal does not “sell” the project, and if we don’t fund it, it’s hard to see that there will be much negative impact.</td>
<td>0–3 points</td>
</tr>
<tr>
<td>Based on the proposal, we can see that this project has merit. The proposal sheds some light on the value the project brings to the community and how participants will benefit. While the enthusiasm factor might not be the highest, we can tell that the project team will be engaged and committed to creating impact.</td>
<td>4–7 points</td>
</tr>
<tr>
<td>The proposal makes a compelling case as to why the project is important and clearly defines the impact it will have on the community. The proposal conveys a strong sense of enthusiasm and excitement. We know that if we fund this work, the team will carry it out with a sense of purpose and will create meaningful engagement.</td>
<td>8–11 points</td>
</tr>
<tr>
<td>The proposal makes clear that this a project Indiana Humanities must fund. The applicant demonstrates how the project is creative, innovative, and dynamic and how it will provide essential and impactful humanities programming to the community. The proposal leaves no doubt as to the value of the project.</td>
<td>out of 15</td>
</tr>
</tbody>
</table>

## Budget

**10 Points**

<table>
<thead>
<tr>
<th>Description</th>
<th>Points</th>
</tr>
</thead>
<tbody>
<tr>
<td>The budget does not include details to help reviewers understand how the organization will spend grant dollars and meet its match. It doesn’t seem reasonable, it proposes to spend funds on prohibited activities, and/or the numbers don’t add up. It raises questions about the ability to execute.</td>
<td>0–2 points</td>
</tr>
<tr>
<td>The budget is adequate. While it might not offer the most detail, the organization has put some thought into it, and we get a sense of how grant dollars will be spent and how the organization will meet its match. The figures seem reasonable compared to what we understand about the project.</td>
<td>3–5 points</td>
</tr>
<tr>
<td>The budget is well prepared. It offers sufficient detail to help us understand how funds will be spent. The organization has been thorough with calculating cash and in-kind cost share, and we feel the budget does a good job of accurately reflecting the costs involved with the project.</td>
<td>6–8 points</td>
</tr>
<tr>
<td>This is a model budget. It presents a thorough accounting of how the grant dollars will be invested, and it’s clear that the applicant has spent time getting quotes, estimates, etc. The cash and in-kind cost-share calculations are detailed and reasonable. The budget’s detail inspires confidence in the project.</td>
<td>9–10 points</td>
</tr>
</tbody>
</table>

## Additional Factors

### Factor

<table>
<thead>
<tr>
<th>Considerations</th>
<th>Points Awarded</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Reaches Underserved Audiences</strong></td>
<td>5 Points</td>
</tr>
<tr>
<td>Indiana Humanities wants to be sure that we reach diverse organizations, communities and audiences, and we recognize that we have not always done so. Award up to five points if you feel that the programming proposed in this proposal would reach underserved groups (consider factors such as race, ethnicity, age, gender, sexual/gender identity, socioeconomic status, rural/suburban/urban status, geographic outreach, etc.).</td>
<td>out of 5</td>
</tr>
<tr>
<td><strong>Builds Capacity</strong></td>
<td>5 Points</td>
</tr>
<tr>
<td>Indiana Humanities also wants to help organizations build their capacity so that they’re better prepared to serve their communities. If you feel that the opportunity to collaborate with us on a grant-funded project will help the applicant to develop the skills of its team members; improve organizational strength; and grow its ability to develop, implement and evaluate meaningful humanities programming for the public, award up to five points. As part of your scoring, consider whether the organization is led by and serves members of underrepresented communities (e.g., BIPOC-led organizations), whether it’s at a disadvantage because it has not benefited from previous investment, and similar factors.</td>
<td>out of 5</td>
</tr>
<tr>
<td><strong>Aligns to Indiana Humanities Goals</strong></td>
<td>5 Points</td>
</tr>
<tr>
<td>Indiana Humanities’ mission is to connect people, open minds and enrich lives by creating and facilitating programs that encourage Hoosiers to think, read and talk. If the proposed project supports our mission and helps us achieve it, award up to five points.</td>
<td>out of 5</td>
</tr>
<tr>
<td><strong>Demonstrates Community Support</strong></td>
<td>5 Points</td>
</tr>
<tr>
<td>We want to ensure that our grant recipients are well regarded in their communities and that our grant-funded projects will have an impact, so we ask applicants to submit letters of support from other groups in their area. These should ideally come from outside organizations, not from people closely affiliated with the applicant, and should show that the applicant is a good partner, has a good track record, and is proposing a project that will be of value to the community. Based on the letters of support provided—and other information presented in the proposal—award up to five points.</td>
<td>out of 5</td>
</tr>
</tbody>
</table>

**Add together the points you assigned for each of the core components and factors listed above and enter the total to the right.**

This is your final score. out of 100

**Comments:**